



## Unilever/NC3Rs joint award training grant terms and conditions

- This award is being made jointly by Unilever and the NC3Rs. As such, additional Unilever/ NC3Rs specific terms and conditions, as set out below, shall apply. Where the conditions set out below address the same subject matter as set out in UKRI training grant terms and conditions, the Unilever/ NC3Rs specific conditions will supersede them.
- In addition to the condition set out in TGC 3.2 on the use of animals in research; all grant holders must implement the principles in the guidance Responsibility in the Use of Animals in Bioscience Research (<u>www.nc3rs.org.uk/responsibility-use-animals-bioscience-research</u>); and all research involving non-human primates should conform to the NC3Rs guidelines 'Primate accommodation, care and use' (<u>www.nc3rs.org.uk/non-human-primate-accommodation-care-and-use</u>).
- 3. If the award of this Training Grant is contingent upon local research ethics committee (LREC) approval or on the granting of Home Office Licence authority, it is the responsibility of the grant holder and the Research Organisation to ensure that approval for this study is granted and adhered to, and that no research begins until approval has been granted. The grant holder/Research Organisation must be prepared to provide the NC3Rs with a copy of the approval, and any correspondence with the LREC or Home Office, if requested.
- 4. Grant Holders should use the ARRIVE guidelines (<u>www.nc3rs.org.uk/ARRIVE</u>) when designing their experiments and ensure that they report animal-based studies in accordance with the ARRIVE guidelines.
- 5. The NC3Rs uses Researchfish (<u>www.researchfish.com</u>) for the collection of outcomes data from jointly funded grants and for monitoring the progress on grants both during and after the lifetime of the award. Compliance with Researchfish reporting is a requirement for every grant issued by the NC3Rs. The Grant Holder is responsible for ensuring their Researchfish record is up-to-date and must use Researchfish to report on their grant periodically/when requested by the NC3Rs. Researchers who do not report into Researchfish when requested to do so, or who use the system inappropriately, may become ineligible to apply for NC3Rs funding.

The final scientific report will be collected via Researchfish. Failure to update Researchfish within three (3) months of the grant end date will result in financial and non-financial sanctions.

Further information on grant holder responsibilities in relation to reporting on Researchfish can be found here - <u>https://www.ukri.org/funding/information-for-award-holders/research-outcomes/principles-of-use-and-award-holder-responsibilities/</u>

- 6. The NC3Rs reserves the right to impose a financial or non-financial sanction to a Training Grant at any stage if unsatisfactory progress has been made.
- 7. Grant holders must update the Student Data Portal (SDP) within one (1) month of the student starting the course.
- 8. Condition TGC 8: Absence; does not apply in full to NC3Rs Training Grants. Unilever and the NC3Rs will not financially supplement a Studentship once it has been awarded. Unilever and the NC3Rs do not provide additional funding for maternity or paternity cover. It is the responsibility of the host Research Organisation to provide funding for maternity or paternity cover in line with UKRI policy.

- 9. The NC3Rs will not claim ownership of intellectual property arising from the Unilever/ NC3Rs jointly funded grants. The NC3Rs policy is that responsibility for the protection and exploitation of NC3Rs-funded research rests with the awarded Research Organisation (RO) responsible for the Grant holder funded by the Unilever/ NC3Rs jointly funded grant.
- 10. Notwithstanding the NC3Rs policy described above, the NC3Rs agrees that Unilever may agree with the awarded Research Organisation [RO] a share of the rewards of intellectual property proportionate to Unilever's contribution to the jointly funded grant provided always that: (i) any agreement between Unilever and RO: (a) is in writing; and (b) does not conflict with any NC3Rs policies or funding conditions (for the avoidance of doubt including any contractual terms in place between NC3Rs and Unilever or between NC3Rs and RO); and (ii) a copy of all such written agreements between Unilever and RO are promptly provided to NC3Rs by those parties following such agreement.
- 11. In addition to the conditions set out in TGC 11: Publications and other forms of media communication, including media appearances, press releases and conferences, must acknowledge the support received from both Unilever and the NC3Rs, quoting the Training Grant reference number if appropriate.
- 12. All grant holders shall ensure that all outcomes of Unilever/ NC3Rs-funded research including the data, results, final conclusions and any other information relating to the research are published on a freely accessible platform in accordance with the UKRI policy on Open Access. All grant holders shall ensure that the methodologies developed as part of a Unilever/ NC3Rs-funded project are published on the NC3Rs gateway or on another freely accessible platform.

Publications arising from research funded by the Unilever/ NC3Rs joint award must report the 3Rs impact of the study in addition to the scientific findings. Failure to do so may jeopardise future funding. See the NC3Rs Evaluation Framework for guidance on reporting 3Rs impacts (<u>www.nc3rs.org.uk/evaluationreport</u>).

- 13. The NC3Rs should be informed of any manuscripts accepted for publication arising from the Unilever/ NC3Rs joint-funded grant; they will be included in the NC3Rs Research Portfolio (<u>www.nc3rs.org.uk/our-</u> <u>science</u>). Grant holders must deposit any publications arising from NC3Rs-funded research into Europe PMC at publication or within six (6) months at the latest.
- 14. Unilever further stipulate that at least forty-five (45) days prior to its intended submission for publication of any information in respect of any Unilever/ NC3Rs joint-funded research in any peer-reviewed journal and twenty five (25) business days prior to presentation of any oral presentation or abstract of any such information at scientific and/or medical conferences, the grant holder shall provide Unilever with a copy of the proposed abstract, manuscript or presentation for review. Unilever may respond in writing within such time period with either approval of the proposed material or comments on it. If Unilever does not respond within such time periods then the proposed material will be deemed approved. If Unilever makes any comments on the proposed publication then the grant holder shall reasonably consider them and determine whether any changes to the publication are necessary in the exercise of their academic and scientific discretion.
- 15. The NC3Rs expect students to submit their theses no later than six (6) months from the funding end date.
- 16. Under reasonable circumstances, as the holder of an NC3Rs award, Grant Holders are expected to aid the NC3Rs in its peer review process and provide a review if requested.
- 17. The NC3Rs organises focused grant holder meetings on specific areas/fields of interest e.g. animal welfare, neuroscience etc. These take place approx. every two (2) years. If a meeting occurs within the term of the Training Grant, the Primary or co-Investigator/ Supervisor must attend.
- The NC3Rs organises a Summer School for NC3Rs funded PhD students. The student must attend at least one NC3Rs Summer School during the term of their PhD. Failure to attend may result in financial and nonfinancial sanctions being imposed.

- 19. Students funded through Unilever/ NC3Rs PhD studentship awards will be required to visit Unilever's Safety and Environmental Assurance Centre (SEAC) approximately three (3) times per annum during the course of their PhD.
- 20. We reserve the right to call for periodic updates on the Training Grant's progress or to visit the Grant Holder and Student, or request participation in evaluation studies. The Grant Holder must make all reasonable efforts, if so invited, to respond to requests for information or to attend events or activities organised by the NC3Rs concerning the research undertaken, including requests or events after the end of the Training Grant Period.
- 21. The NC3Rs will provide the grant holder's contact details to Unilever. Unilever will liaise with the grant holder to discuss any additional Terms and Conditions which may apply to this PhD Studentship for example but not limited to, additional reporting requirements, participation in meetings organised by Unilever or intellectual property rights.

The NC3Rs reserves the right to amend and vary these Terms and Conditions at any time.

These conditions and further information for grant holders can be found on the NC3Rs website at: <a href="http://www.nc3rs.org.uk/informationforexistinggrantholders">www.nc3rs.org.uk/informationforexistinggrantholders</a>.

Please note: The NC3Rs is an independent scientific organisation, tasked by Government with supporting the UK science base through the application of the replacement, reduction and refinement of the use of animals in research. Though it is represented legally by the MRC, which in turn is part of the executive non-departmental public body UK Research and Innovation (UKRI), the NC3Rs is managed independently.